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2176 BT  
7-16-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Rituraj Kirti, et al.

Serial No.: 10/075,960

Filed on: February 13, 2002

Group Art Unit No.: 2176

Examiner: NYA



For: METHOD AND APPARATUS FOR COLLECTING, AGGREGATING AND  
MONITORING NETWORK MANAGEMENT INFORMATION

Commissioner for Patents  
Washington, D.C. 20231

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Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

  X   37 C.F.R. §1.97(b).

       37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes one of the following:

       A statement pursuant to 37 C.F.R. §1.97(e)

       1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart



foreign application not more than three months prior to the filing of this information disclosure statement.

\_\_\_\_\_ 1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

\_\_\_\_\_ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

\_\_\_\_\_ 37 C.F.R. §1.97(d). If so, then this Information Disclosure Statement includes the following:

\_\_\_\_\_ A statement pursuant to 37 C.F.R. §1.97(e)

\_\_\_\_\_ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; OR

\_\_\_\_\_ 1.97(e)(2) The undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

AND

\_\_\_\_\_ A check for \$180.00 for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.

\_\_\_\_\_ 37 C.F.R. §1.97(i). Wherein applicants are submitting references before the grant of a patent to be placed in the file but not considered by the Patent office.

- (1) Accordingly, copies of the references as listed on the attached Form PTO 1449 are submitted herewith for placement in the file. No certification or fees are deemed necessary.

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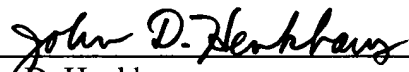
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Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Dated: June 24, 2002

  
\_\_\_\_\_  
John D. Henkhaus  
Reg. No. 42,656

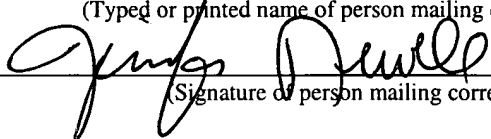
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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, Washington, D. C. 20231 on June 25, 2002  
(Date of Deposit)

Jennifer Newell

\_\_\_\_\_  
(Typed or printed name of person mailing correspondence)

  
\_\_\_\_\_  
(Signature of person mailing correspondence)

# INFORMATION DISCLOSURE CITATION IN AN APPLICATION



(PTO-1449)

 ATTY. DOCKET NO.  
50325-0633

 SERIAL NO.  
10/075,960

 APPLICANT  
Rituraj Kirti, et al.

 FILING DATE  
February 13, 2002

 GROUP  
2176

## U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	RE. 33,900	4/28/92	Howson	370	105	7/13/89
	4,131,767	12/26/78	Weinstein	179	170.2	2/22/78
	4,161,719	7/17/79	Parikh et al.	340	147 SY	10/4/77
	4,316,284	2/16/82	Howson	370	105	9/11/80
	4,397,020	8/2/83	Howson	370	105	10/13/81
	4,419,728	12/6/83	Larson	364	200	6/22/81
	4,424,565	1/3/84	Larson	364	200	6/22/81
	4,437,087	3/13/84	Petr	340	347 DD	1/27/82
	4,438,511	3/20/84	Baran	370	19	11/10/80
	4,439,763	3/27/84	Limb	340	825.5	9/3/81
	4,445,213	4/24/84	Baugh et al.	370	94	1/22/82
	4,446,555	5/1/84	Devault et al.	370	94	2/22/82
	4,456,957	6/26/84	Schieltz	364	200	9/28/81
	4,464,658	8/7/84	Thelen	340	825.5	3/5/82
	4,499,576	2/12/85	Fraser	370	60	8/13/82
	4,506,358	3/19/85	Montgomery	370	60	6/25/82
	4,507,760	3/26/85	Fraser	365	221	8/13/82
	4,532,626	7/30/85	Flores et al.	370	85	7/19/82
	4,644,532	2/17/87	George et al.	370	94	6/10/85
	4,646,287	2/24/87	Larson et al.	370	60	12/7/84
	4,677,423	6/30/87	Benvenuto et al.	340	347 DD	1/6/86
	4,679,189	7/7/87	Olson et al.	370	60	11/27/85

## FOREIGN PATENT DOCUMENTS

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						Yes	No

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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	4,679,227	7/7/87	Hughes-Hartogs	379	98	5/20/85
	4,723,267	2/2/88	Jones et al.	379	93	6/17/85
	4,731,816	3/15/88	Hughes-Hartogs	379	98	1/12/87
	4,750,136	6/7/88	Arpin et al.	364	514	1/10/86
	4,757,495	7/12/88	Decker et al.	370	76	3/5/86
	4,763,191	8/9/88	Gordon et al.	358	86	3/17/86
	4,769,810	9/6/88	Eckberg, Jr. et al.	370	60	12/31/86
	4,769,811	9/6/88	Eckberg, Jr. et al.	370	60	12/31/86
	4,771,425	9/13/88	Baran et al.	370	85	10/29/84
	4,819,228	4/4/89	Baran et al.	370	85	10/15/87
	4,827,411	5/2/89	Arrowhead et al.	364	300	6/15/87
	4,833,706	5/23/89	Hughes-Hartogs	379	98	1/5/88
	4,835,737	5/30/89	Herrig et al.	364	900	7/21/86
	4,879,551	11/7/89	Georgiou et al.	340	825.870	4/26/85
	4,893,306	1/9/90	Chao et al.	340	94.2	11/10/87
	4,903,261	2/20/90	Baran et al.	370	94.2	3/9/88
	4,922,486	5/1/90	Lidinsky et al.	370	60	3/31/88
	4,933,937	6/12/90	Konishi	370	85.13	11/23/87
	4,960,310	10/2/90	Cushing	350	1.7	8/4/89
	4,962,497	10/9/90	Ferenc et al.	370	60.1	9/21/89
	4,962,532	10/9/90	Kasiraj et al.	380	25	12/22/88
	4,965,772	10/23/90	Daniel et al.	364	900	6/15/87


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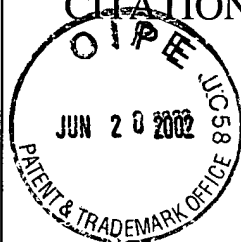
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				<b>RECEIVED</b> <b>JUL 03 2002</b>			
				APPLICANT <b>Rituraj Kirti, et al.</b>		FILING DATE <b>February 13, 2002</b>	
				GROUP <b>2176</b>		<b>Technology Center 2100</b>	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
	4,970,678	11/13/90	Sladowski et al.	364	900	6/28/88	
	4,980,897	12/25/90	Decker et al.	375	38	8/12/88	
	4,991,169	2/5/91	Davis et al.	370	77	8/2/88	
	5,003,595	3/26/91	Collins et al.	380	25	8/29/89	
	5,014,265	5/7/91	Hahne et al.	370	60	11/30/89	
	5,020,058	3/28/91	Holden et al.	370	109	1/23/89	
	5,033,076	7/16/91	Jones et al.	379	67	1/31/90	
	5,054,034	10/1/91	Hughes-Hartogs	375	8	6/15/89	
	5,059,925	10/22/91	Weisbloom	331	1 A	9/28/90	
	5,072,449	12/10/91	Enns et al.	371	37.1	2/15/90	
	5,088,032	2/11/92	Bosack	395	200	1/29/88	
	5,095,480	3/10/92	Fenner	370	94.1	6/16/89	
	5,115,431	5/19/92	Williams et al.	370	94.1	9/28/90	
	5,128,945	7/7/92	Enns et al.	371	37.1	10/31/91	
	5,136,580	8/4/92	Videlock et al.	370	60	5/16/90	
	5,199,049	3/30/93	Wilson	375	104	4/27/90	
	5,206,886	4/27/93	Bingham	375	97	4/16/90	
	5,208,811	5/4/93	Kashio et al.	370	94.1	11/1/90	
	5,212,686	5/18/93	Joy et al.	370	60	9/29/89	
	5,224,099	6/29/93	Corbalis et al.	370	94.2	5/17/91	
	5,226,120	7/6/93	Brown et al.	395	200	5/21/90	
	5,228,062	7/13/93	Bingham	375	97	5/27/92	
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**U.S. PATENT DOCUMENTS**

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	5,229,994	7/20/93	Balzano et al.	370	85.13	9/27/91
	5,237,564	8/17/93	Lespagnol et al.	370	60.1	4/5/91
	5,241,682	8/31/93	Bryant et al.	395	800	4/18/91
	5,243,342	9/7/93	Kattemalalavadi et al.	341	106	7/22/92
	5,243,596	9/7/93	Port et al.	370	94.1	3/18/92
	5,247,516	9/21/93	Bernstein et al.	370	82	3/28/91
	5,249,178	9/28/93	Kurano et al.	370	60	7/26/91
	5,249,292	9/28/93	Chiappa	395	650	3/10/92
	5,255,291	10/19/93	Holden et al.	375	111	11/14/88
	5,260,933	11/9/93	Rouse	370	14	5/15/92
	5,260,978	11/9/93	Fleischer et al.	375	106	10/30/92
	5,268,592	12/7/93	Bellamy et al.	307	43	2/26/91
	5,274,631	12/28/93	Bhardwaj	370	60	3/11/91
	5,274,635	12/28/93	Rahman et al.	370	60.1	11/18/92
	5,274,643	12/28/93	Fisk	370	94.1	12/11/92
	5,280,470	1/18/94	Buhrke et al.	370	13	2/3/93
	5,280,480	1/18/94	Pitt et al.	370	85.13	2/21/91
	5,280,500	1/18/94	Mazzola et al.	375	17	10/10/91
	5,283,783	2/1/94	Nguyen et al.	370	16.1	1/28/93
	5,287,103	2/15/94	Kasprzyk et al.	340	825.52	12/30/91
	5,287,453	2/15/94	Roberts	395	200	9/18/90
	5,291,482	3/1/94	McHarg et al.	370	60	7/24/92
	5,305,311	4/19/94	Lyles	370	60	5/20/92
	5,307,343	4/26/94	Bostica et al.	370	60	11/27/90


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				APPLICANT <b>Rituraj Kirti, et al.</b>				<b>Technology Center 2100</b>	
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<b>U.S. PATENT DOCUMENTS</b>									
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE			
	5,311,509	5/10/94	Heddes et al.	370	60	2/6/92			
	5,313,454	5/17/94	Bustini et al.	370	13	4/1/92			
	5,313,582	5/17/94	Hendel et al.	395	250	4/30/91			
	5,317,562	5/31/94	Nardin et al.	370	16	5/7/93			
	5,319,644	6/7/94	Liang	370	85.5	8/21/92			
	5,327,421	7/5/94	Hiller et al.	370	60.1	11/6/92			
	5,331,637	7/19/94	Francis et al.	370	54	7/30/93			
	5,345,445	9/6/94	Hiller et al.	370	60.1	11/6/92			
	5,345,446	9/6/94	Hiller et al.	370	60.1	11/6/92			
	5,359,592	10/25/94	Corbalis et al.	370	17	6/25/93			
	5,361,250	11/1/94	Nguyen et al.	370	16.1	11/3/93			
	5,361,256	11/1/94	Doeringer et al.	370	60	5/27/93			
	5,361,259	11/1/94	Hunt et al.	370	84	2/19/93			
	5,365,524	11/15/94	Hiller et al.	370	94.2	11/6/92			
	5,367,517	11/22/94	Cidon et al.	370	54	12/16/92			
	5,371,852	12/6/94	Attanasio et al.	395	200	10/14/92			
	5,386,567	1/31/95	Lien et al.	395	700	10/14/92			
	5,390,170	2/14/95	Sawant et al.	370	58.1	6/29/93			
	5,390,175	2/14/95	Hiller et al.	370	60	12/20/93			
	5,394,394	2/28/95	Crowther et al.	370	60	6/24/93			
	5,394,402	2/28/95	Ross	370	94.1	6/10/94			
	5,400,325	3/21/95	Chatwani et al.	370	60.1	6/29/93			
<b>FOREIGN PATENT DOCUMENTS</b>									
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation			
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EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE			
	5,408,469	4/18/95	Opher et al.	370	60.1	7/22/93			
	5,416,842	5/16/95	Aziz	380	30	6/10/94			
	5,422,880	6/6/95	Heitkamp et al.	370	60	4/5/93			
	5,422,882	6/6/95	Hiller et al.	370	60.1	12/20/93			
	5,423,002	6/6/95	Hart	395	200	2/28/94			
	5,426,636	6/20/95	Hiller et al.	370	60.1	12/20/93			
	5,428,607	6/27/95	Hiller et al.	370	60.1	12/20/93			
	5,430,715	7/4/95	Corbalis et al.	370	54	9/15/93			
	5,430,729	7/4/95	Rahnema	370	41	4/4/94			
	5,442,457	8/15/95	Najafi	385	400	1/11/93			
	5,442,630	8/15/95	Gagliardi et al.	370	85.13	5/25/91			
	5,452,297	9/19/95	Hiller et al.	370	60.1	12/20/93			
	5,473,599	12/5/95	Li et al.	370	16	4/22/94			
	5,473,607	12/5/95	Hausman et al.	370	85.13	8/9/93			
	5,477,541	12/19/95	White et al.	370	94.1	12/13/93			
	5,485,455	1/16/96	Dobbins et al.	370	60	1/28/94			
	5,490,140	2/6/96	Abensour et al.	370	60.1	9/30/94			
	5,490,258	2/6/96	Fenner	395	401	9/29/92			
	5,491,687	2/13/96	Christensen et al.	370	17	9/28/94			
	5,491,804	2/13/96	Heath et al.	395	275	1/4/91			
	5,509,006	4/16/96	Wilford et al.	370	60	4/18/94			
	5,519,704	5/21/96	Farinacci et al.	370	85.13	4/21/94			
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	5,526,489	6/11/96	Nilakantan et al.	395	200.02	3/19/93
	5,530,963	6/25/96	Moore et al.	395	200.15	12/16/93
	5,535,195	7/9/96	Lee	370	54	5/6/94
	5,539,734	7/23/96	Burwell et al.	370	60	7/21/94
	5,541,911	7/30/96	Nilakantan et al.	370	13	10/12/94
	5,546,370	8/13/96	Ishikawa	369	77.2	7/29/94
	5,555,244	9/10/96	Gupta et al.	370	60.1	6/30/94
	5,561,669	10/1/96	Lenney et al.	370	60.1	10/26/94
	5,583,862	12/10/96	Callon	370	397	3/28/95
	5,592,470	1/7/97	Rudrapatna et al.	370	320	12/21/94
	5,598,581	1/28/97	Daines et al.	395	872	8/6/93
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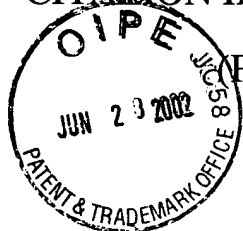
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No

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# INFORMATION DISCLOSURE CITATION IN AN APPLICATION



PTO-1449)

 ATTY. DOCKET NO.  
50325-0633

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10/075,960

 APPLICANT  
Rituraj Kirti, et al.

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						APPLICANT <b>Rituraj Kirti, et al.</b>			
						FILING DATE <b>February 13, 2002</b>		GROUP <b>2176</b>	
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